The Crops (Nuts and Oil Crops) Regulations, 2019

LEGAL NOTICE NO………………

THE CROPS ACT, 2013
(No. 16 of 2013)

THE CROPS (NUTS AND OIL CROPS) REGULATIONS, 2019

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**SCHEDULES:**

FIRST SCHEDULE— SCHEDULED NUTS AND OIL CROPS
SECOND SCHEDULE—FORMS
THIRD SCHEDULE—FEES AND LEVIES
FOURTH SCHEDULE— MINIMUM REQUIREMENTS
FIFTH SCHEDULE — GUIDELINES
THE CROPS (NUTS AND OIL CROPS) REGULATIONS, 2019.

PART I—PRELIMINARY

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<th>Citation.</th>
<th>1. These Regulations may be cited as the Crop (Nuts and Oil Crops) Regulations, 2019.</th>
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<tr>
<td>Interpretation.</td>
<td>2. In these Regulations unless the context otherwise requires—</td>
</tr>
<tr>
<td>No.16 of 2013.</td>
<td>“Act” means the Crops Act 2013;</td>
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<tr>
<td></td>
<td>“agent” means a dealer acting on behalf of a licensed player and can be a marketing agent or clearing agent</td>
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<td></td>
<td>“annual crop” means plants that complete their entire life cycle from seed to flower and to seed within a single growing season in this case includes rape seed, groundnuts, sesame, castor bean, sunflower, safflower, linseed, jojoba and any other similar nut and oil crop as may be scheduled.</td>
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<td></td>
<td>“authorized agent” means a person appointed in writing by the Authority to carry out specific duties and functions in the nuts and oil crops subsector on behalf of the Authority;</td>
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<td></td>
<td>“Authority” means the Agriculture and Food Authority established by section 3 of the Agriculture and Food Authority Act, 2013;</td>
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<td>“certificate” means a document issued by the Authority or the County Government for a specified purpose upon fulfilling certain requirements;</td>
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<td></td>
<td>“collection center” means a designated site that is established for the purposes of storage and marketing of different nuts and oil crops produce and products;</td>
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<td>“commercial nursery” means an approved site where nuts and oil crops seedlings are raised for sale;</td>
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</tbody>
</table>
“County Government” shall have the meaning assigned to it under Article 176 of the Constitution;

“crop inspectors” means persons appointed as inspectors in accordance with section 27 of the Act;

“dealer” includes a person engaged in either collecting, transporting, storing, distributing, or buying and selling nuts and oil crops produce, products or by-products and includes marketing agents, exporter, importer and ship chandler. For purposes of these regulations, a commercial nursery operator is also a dealer;

“Directorate” means the Directorate established under the Agriculture and Food Authority Act, 2013 for the time being responsible for matters related to scheduled nuts and oil crops;

“export” means to move or ship locally (Kenyan) produced nuts and oil crops produce or products to another country;

“grower” means any person who cultivates nuts and oil crops in Kenya and may be a small-holder or plantation grower;

“grower associations” means any association, common interest group, community-based group, co-operative, union or federation of nuts and oil crops growers or any other legal entity comprised of nuts and oil crops growers

“import” means to bring into Kenya nuts and oil crops produce or products from another country;

“licensee” means a person who holds a license granted under the Crops Act, 2013 or these Regulations;

“manufacture” means the processing of all nuts and oil crops produce and products and includes cracking, grading, packaging, labelling and distribution of nuts and oils crops products for sale; and does not include de-husking and drying;

“manufacturer” means a processing facility that transforms nuts and oil crops produce or products into various end use products;

“mother block” means an area set aside with selected plants for the purpose of obtaining clean planting, grafting and budding material;
“mother plant” means a tree that has been identified and approved by the relevant authority as a source of planting, grafting or budding material;

“nuts and oil crops” means any of the crops listed under the First Schedule and include such other crops as the Cabinet Secretary may gazette on the advice of the Authority to be a Scheduled crop under section 7 of the Crops Act;

“perennial crop” means plants that persist for many growing seasons and in this case includes macadamia, cashew, coconut, oil palm, and any other nuts and oil crop that may be scheduled from time to time.

“person” includes an individual, company, firm, co-operative society, association or a corporation;

“plantation grower” means a grower with 50 acres or more for perennial crops or 10 acres or more for annual crops

“raw macadamia nut” means unprocessed produce still in shell, whether wet or dry;

“raw cashew nut” means unprocessed produce still in shell;

“record” means a written or electronic data or information relating to a process or procedure;

“rootstock” means the part of a plant which forms the root system of the plant, and into which a scion cultivar is grafted or budded;

“scion” means a detached shoot or bud used in vegetative propagation in the process of grafting or budding;

“ship chandler” means a person registered and authorized by the Authority to supply nuts and oil crops produce and products to a ship or an aircraft;

“single window system” means a platform hosted by a government agency responsible for trade facilitation for clearing exports and imports electronically;
“small-holder grower” means a grower with less than 50 acres under perennials or less than 10 acres under annuals for nuts or oil crop;

“stakeholder” means any person involved directly or indirectly in the nuts and oil crops industry;

“traceability” means ability to track nuts and oil crops produce and products from production to the final consumer and vice versa; and

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<th>Application.</th>
<th>3. These Regulations shall apply to the nuts and oil crops set out in the First Schedule.</th>
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<th>Purpose of Regulations.</th>
<th>4. (1) The purpose of these Regulations is to guide the promotion, development and regulation of scheduled nuts and oil crops. (2) Without prejudice to the generality of sub-regulation (1), the purpose of these Regulations is to provide for— (a) the production, harvesting, processing and marketing of nuts and oil crops; (b) promotion of best practices in the sub sector; (c) registration and licensing of all players along the value chain; (d) the recommendation of general industry agreements or contracts; (e) the development and enforcement of quality and safety standards for compliance; (f) the collection, collation, maintenance and management of statistical data related to the sub sector; (g) the imposition and administration of levies and; (h) regulation of the sub sector;</th>
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**PART II—REGISTRATION AND LICENSING**

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<th>Registration of small-holder growers and grower associations.</th>
<th>5. (1) All small-holder growers, for purposes of accessing economies of scale, may register with an association, common interest groups,</th>
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community based organizations and co-operatives registered by the respective County Government under these Regulations.

(2) The small-holder grower associations cultivating nuts and oil crops shall apply to the respective County Government for registration as set out in Form A1 of the Second Schedule.

(3) The respective County Government shall issue a certificate of registration to small-holder grower associations as set out in Form A2 of the Second Schedule.

(4) All small-holder grower associations cultivating nuts and oil crops shall maintain a register of their members as set out in Form A3 of the Second Schedule.

(5) The Authority in consultation with the respective county government, using the information obtained from filled Form A3 of the Second Schedule, shall maintain a register of all smallholder growers associations as set out in Form A4 of the Second Schedule.

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<th>Registration of plantation growers.</th>
<th>6. (1) A nuts and oil crops plantation grower may apply to the Authority for registration as set out in Form B1 of the Second Schedule.</th>
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<td>(2) The Authority shall issue a Certificate of Registration to plantation growers as set out in Form B2 of the Second Schedule.</td>
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<th>Commercial Nursery registration and licensing</th>
<th>7. (1) A person shall not establish or operate a commercial nursery in any area unless the person is registered with the Authority.</th>
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<td>(2) A person who intends to operate a commercial nursery shall make an application for licensing to the Authority as set out in Form C1 of the Second Schedule.</td>
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<td>(3) An applicant shall meet all the requirements as set out in the Fourth Schedule Part A before consideration for issuance of the license.</td>
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<th>Issuance of commercial nursery license.</th>
<th>8. (1) The Authority shall issue a license to a successful applicant as set out in Form C2 of the Second Schedule within thirty (30) days, upon payment of fee set out in Third Schedule.</th>
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<td>(2) Unsuccessful applicant shall be notified in writing within seven (7) days and given a chance to fulfill all the requirements and reapply for the registration following the procedure set out in regulation 7 above.</td>
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<td>A person who contravenes the provisions of Regulations 7 and 8 commits an offence.</td>
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</table>
9. (1) All persons establishing nuts and oil crops mother plants and mother blocks for use as source of clean planting materials or scions for grafting or budding shall register them with government agencies responsible for national plant protection matters.

(2) The national plant protection agencies shall share the registers with the Authority and with the respective County Government from time to time.

(3) Nursery operators will source their planting materials from registered mother plant /mother blocks as in (2) above

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<th>Registration and licensing of traders, retailers, transporters, marketing agents, warehousemen and distributors.</th>
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| **10.** (1) A person who intends to aggregate, trade, retail, transport, distribute or warehouse nuts and oil crops produce and products for commercial purposes shall make an application for registration and licensing to the Authority in Form D1 as set out in the Second Schedule.

(2) The Authority shall issue a license to successful applicants under paragraph (1) as set out in Form D2 of the Second Schedule upon payment of fees as set out in the Third Schedule.

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<th>Registration and licensing of importers and exporters.</th>
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| **11.** (1) A person who intends to import or export nuts and oil crops produce or products shall make an application for registration and licensing to the Authority in Form E1 as set out in the Second Schedule.

(2) The Authority shall issue successful applicant with a license within 14 days as set out in Form E2 of the Second Schedule after meeting requirements set out in Fourth Schedule Part C and upon payment of the fee set out in Third Schedule.

A dealer who contravenes the provisions of Regulations 10 and 11 commits an offence and shall upon conviction, be liable to imprisonment for term not exceeding three years or to a fine not exceeding five million shillings, or to both.

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<th>Application for approval to establish a manufacturing facility.</th>
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| **12.** (1) A person before establishing a new manufacturing facility shall apply for approval from the Authority using an application form as set out in Form F1 in the Second Schedule.

(2) The applicant shall fulfil all the requirements as set out in Form F2 in the Second Schedule before consideration for issuance of the letter of interim authority.

(3) The Authority shall within thirty days verify, approve and issue a letter of interim authority as set out in Form F2 in the Second Schedule.

(4) Upon completion of the facility, the applicant shall make a written application for inspection of the facility to the Authority.
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| 10.     | (5) The Authority shall conduct inspection of the facility upon payment of fee set out in Third Schedule and issue the applicant with a letter indicating the inspection outcome within 30 days.  
(6) The letter of interim authority shall be valid for two years with only one renewal of one year if the facility construction is not completed. |
| 13.     | (1) A person who intends to manufacture nuts and oil crops produce or products shall make an application for a license to the Authority in Form G1 as set out in the Second Schedule  
(2) The Authority shall consider the application under paragraph (1) and notify the applicant of the decision on grant within thirty days.  
(3) The Authority shall, at least thirty days before granting a license under these Regulations, give notice of the proposed grant in the Kenya Gazette.  
(4) Upon the expiry of the thirty-day Gazette Notice, and when no objections have been raised, the Authority shall issue a license to successful applicants under paragraph (1) as set out in Form G2 of the Second Schedule upon payment of the fee set out in the Third Schedule.  
(5) In case, of an objection, the aggrieved party shall appeal to the Cabinet Secretary as set out in Reg. 18 (2), (3) and (4). |
| 14.     | (1) Every license granted under these Regulations shall expire on 30th of June following the date of issue unless otherwise stated or earlier cancelled.  
(2) Applications for renewal shall be made to the Authority not later than the first day of the month of June in which the current license is due to expire.  
(3) The Authority shall renew a license within fifteen (15) days after the expiry of the current license when the applicant fulfils all the requirements and upon payment of fees as set out in Third Schedule. |
| 15.     | The Authority or the county government may require an applicant to supply such additional information as it may consider necessary in relation to the application for registration, license or renewal. |
| 16.     | (1) The Authority or the county government in considering an application for new registration or renewal, shall make a decision within fifteen (15) days. |
The Crops (Nuts and Oil Crops) Regulations, 2019

(2) The Authority in considering an application for new licenses under these Regulations shall make a decision within thirty (30) days after receipt of an application and forward the details of successful applicants for publishing in the Kenya Gazette for thirty (30) days.

(3) The Authority in considering an application for renewal of a license under these Regulations, shall make a decision within thirty (30) days after receipt of an application.

(4) In case of an unsuccessful application, the Authority shall review re-submitted application for consideration upon fulfilling the set requirements.

| Licensing fee. | 17. Every dealer and manufacturer shall pay a licensing fee as set out in the Third Schedule. |
| Revocation, suspension or varying of a license | 18. (1) The Authority may revoke, suspend or vary a license issued to a dealer or manufacturer if the person—
   (a) fail to comply with these regulations or the conditions set out in the license; or
   (b) commits an offence under the Act, these Regulations or any other written law in respect of the activity for which they have been licensed.
   (2) An applicant for a license who is aggrieved by the decision of the Authority in respect of the grant, refusal renewal, variation or revocation or the conditions imposed thereof may appeal to the Cabinet Secretary for review of the decision of the Authority.
   (3) The appeal shall be in writing and be delivered to the Cabinet Secretary within 30 days from the date of notification of the decision by the Authority.
   (4) The Cabinet Secretary shall consider the appeal and give a written feedback to the affected party within 45 days of receipt. |
| Certificate of registration, license or permit not transferable. | 19. A certificate of registration, license or permit issued under these Regulations shall not be transferrable to another entity and shall only be applicable to a farm, nursery or premise to which it has been issued. |

PART III—PRODUCTION, PROCESSING AND MARKETING PROVISIONS

20. A person growing nuts and oil crops shall procure seeds or planting materials or seedlings from sources approved by the government agencies responsible for inspection and certification of seeds and planting materials or seedlings.
**Mother plants/mother block and nursery establishment.**

21. (1) A mother plant and mother block for use as source of clean planting material shall be established in accordance with such guidelines as shall be issued by the relevant government agencies in consultation with the respective County Government from time to time.

(2) A nursery shall be established in accordance with such guidelines as shall be issued by the Authority in consultation with the respective County Government from time to time.

A person who contravenes the provisions of Regulation 20 and 21 commits an offence.

**Contracts between grower or dealer or manufacturer.**

22. (1) A registered dealer or manufacturer shall—

(a) sign a contract with the grower and register the contract with the respective County Government as set out in the Fifth Schedule Part B;

(b) notify the Authority and the relevant County Government of any dealer or manufacturer or grower who breaches any contract within thirty days so as to initiate arbitration of the breach.

A person who contravenes the provisions of these Regulations commits an offence.

**Production and processing.**

23. (1) Nuts and oil crops produce and products shall be produced, harvested, sorted, graded, packaged, transported and stored or warehoused in accordance with the guidelines set out in the Fifth Schedule Part C and the applicable national, regional and international standards.

(2) Every grower and dealer shall harvest, sort, grade, pack, transport and store or warehouse nuts and oil crops when the produce is physiologically mature for the intended use.

(3) Physiological maturity indicators vary from crop to crop and the intended use of the produce but shall include the following:

(a) fruit left to fall to the ground before being collected (macadamia and cashew nut)

(b) Float test (macadamia)

(c) Chocolate brown inner side of husk or pod (macadamia and peanut)

(d) Mature pod hard to split (peanut)

(e) Flower head turn to light yellow or brown and the seeds are dry and protruding from flower head (sunflower)

(f) When plant and capsule are dry and seed is about 6 percent moisture content (sesame)

(4) Notwithstanding the provisions of sub-regulation (3), the County government in consultation with relevant stakeholders may from time
to time identify and enforce the maturity indicators applicable to the crops grown.

(5) All premises for storage and processing of nuts and oil crops produce and products shall comply with the provisions of these regulations and national, regional and international food safety and quality standards where applicable.

A dealer who contravenes the provisions of sub regulation (2), (3) and (4) above commits an offence

<table>
<thead>
<tr>
<th>Traceability of produce and products.</th>
<th>24. (1) The Authority may develop, implement and maintain a detailed traceability system to track and trace the history and location at all stages of production, processing and distribution of the nuts and oil crops produce and products and keep the records for at least two years</th>
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<tr>
<td></td>
<td>(2) Every manufacturer or dealer shall develop and maintain records of who they buy from and who they sell to for the purpose of feeding into the traceability system of the Authority.</td>
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<td>(3) Every grower shall develop and maintain production records for at least two years for annual crops and five years for perennial crops, setting out—</td>
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<td>(a) source of planting material;</td>
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<td></td>
<td>(b) planting date;</td>
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<td>(c) application rates and date of farm inputs use;</td>
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<td>(d) farm operations type and date done;</td>
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<td>(e) harvesting dates and quantities; and</td>
</tr>
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<td></td>
<td>(f) post-harvest management records.</td>
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<td></td>
<td>A person who contravenes the provision of this regulation commits an offence.</td>
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</table>

| Bi-annual verification of traceability. | 25. The Authority shall conduct bi-annual routine verification of the traceability of produce and products along the value chain. |

<table>
<thead>
<tr>
<th>Manufacturing and being in possession of scheduled nuts and oil crops.</th>
<th>26. (1) A person shall not manufacture or be in possession of a scheduled nuts and oil crop product for sale without a license issued in accordance with these Regulations.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(2) A person who manufactures or is in possession of a scheduled nuts and oil crops produce or product in contravention to the provisions of this regulations commits an offence and shall be liable, on conviction, to a fine not exceeding ten million shillings, or to imprisonment for a term not exceeding five years, or both.</td>
</tr>
</tbody>
</table>
**Buying and selling of nuts and oil crops produce**

27. (1) For purposes of traceability, the buying and selling of nuts and oil crops produce and products from small-holder farmers for commercial purposes, may be done in collection centers.

(2) Nuts and oil crops produce and products from plantation growers may be sold directly to the market as long as clear and verifiable traceability system is used.

**Collection centers.**

28. (1) The Authority shall, in consultation with the respective County Government use guidelines as set out in the Fifth Schedule Part A for establishment and uses of collection centers. The guidelines will be reviewed from time to time as and when necessary.

(2) The collection centers may be owned or managed by county governments, growers’ associations, dealers or the manufacturers.

**Importation and exportation of nuts and oil crops produce and products.**

29. (1) All nuts and oil crops produce and products shall be imported or exported through a customs port of entry or exit.

(2) A licensed importer or exporter of nuts and oil crops produce and products shall apply for an export or import permit electronically through single window system for each consignment of imported or exported nuts and oil crops produce or products.

(3) Each consignment of nuts and oil crops produce or products for import or export shall be declared at the port of entry or exit and may be physically verified by the Authority or its authorized agent.

(4) During the verification of a consignment under sub-regulation (3), a licensed importer or exporter shall provide, on request by the Authority or its authorized agent—

(a) original copies of importation or exportation documentations;

(b) evidence of payment of duties and levies;

(5) Upon satisfying the conditions for import or export of nuts and oil crops produce and products under these Regulations, the Authority shall approve for release the consignment to the consignee.

(6) The Authority shall, in collaboration with other government agencies detain, at the cost of the consignee, any consignment that
### Field and Manufacturing facility Inspection

is not accompanied by the documents under paragraph (5) until the requisite documentation is availed for verification.

(7) A consignment whether repacked or in the original package shall bear, in bold print, the name and contact details of the consignor, consignee and the country of origin.

(8) The Authority shall together with other government agencies, at the cost of the owner, seize, or remove in an appropriate manner, any consignment of nuts and oil crops produce and products that does not conform to the quality standards set by the Authority.

### Crop inspectors appointment

30. (1) The Authority and county government together with other government agencies responsible for ensuring quality produce and products may conduct field inspection of nuts and oil crops grown to ascertain quality control during production.

(2) The Authority shall conduct the first inspection of newly established manufacturing facility upon payment of fee as set out in Third schedule, to ensure compliance with national, regional and international standards on food safety and good manufacturing practices.

(3) The Authority shall conduct quarterly inspections, upon payment of fees as set out in Third schedule, on existing manufacturing plants to ensure conformity to standards

31. (1) The Authority shall conduct inspection of farms, nurseries, manufacturing facilities, stores and warehouses and transport vehicle for all scheduled nuts and oil crops produce and products to ascertain compliance with the requirements of the Act, these Regulations and other relevant regional and international standards.

(2) For farm inspection the Authority may jointly conduct inspections in consultation with the County Executive Committee Members responsible for matters agriculture.

(3) The Authority shall gazette inspectors upon their appointment.

(4) A person appointed as an inspector under sub regulation (2) shall have the following minimum qualifications—

   (a) be a Kenyan citizen;

   (b) satisfies Chapter Six of the Constitution;
(c) be a holder of diploma in agriculture or related agricultural field from a recognized institution and a minimum of two years of relevant experience; or

(d) be a bachelor’s degree holder in agriculture or agriculture-related field from a recognized university

(e) has undergone training in relevant course on crop inspection

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<th>Functions of crop inspectors.</th>
<th>32. The function of the crops inspectors shall include the following among others—</th>
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<td>(a) conduct inspection and compliance audit to growers, dealers and manufacturers from time to time to ensure compliance to these Regulations</td>
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<tr>
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<td>(b) monitor activities associated with production, dealing, handling and processing of nuts and oil crops produce and product</td>
</tr>
<tr>
<td></td>
<td>(c) undertake regular and periodic surveillance and audits to ensure adherence to the Act, these Regulations and any other laws</td>
</tr>
</tbody>
</table>

| Entry inspection and obstruction of crop inspectors | 33. In exercise of the powers of entry and inspection, crop inspectors shall be guided by the provision of section 28, 29 and 30 of the Act. |

| Compliance with quality standards. | 34. All nuts and oil crops produce or products offered for sale shall comply with relevant national, regional and international standards and where applicable food safety and hygiene requirements and phytosanitary requirements. |

| Sampling and testing of produce and products | 35. (1) The Authority in collaboration with other government agencies shall randomly sample, test and analyze different nuts and oil crops produce and products in collection centers, warehouses/stores, markets and manufacturing facilities to ensure conformity to food safety and quality standards as provided for in national, regional, international and any other relevant laws |
|                                             | (2) The Authority shall issue annual standards conformity certificate to buyers, warehouse/store men, transporters, importers and exporters. |
|                                             | (3) The Authority shall seize or remove at the cost of the owner any produce or product that does not conform to food safety, quality and any other requirement in the Regulations. |
|                                             | (4) The Authority shall immediately liaise with other relevant government agencies for disposal or destruction of the seized or removed product or produce. |
## PART IV—GENERAL PROVISIONS

**Dispute Resolution procedure**

36. (1) An agreement to refer a dispute between a grower and a crop dealer for arbitration under this regulation may be in the form of an arbitration clause in a contract or in the form of a separate agreement.

(2) A dispute arising between a grower and other crop dealers may be notified in writing presented by the aggrieved party or their advocate to an arbitrator that has been mutually agreed between them and shall have attached thereto copies of the relevant documents relating to the dispute.

(3) The aggrieved parties are free to agree on the procedure to be followed by the arbitrator in the conduct of the proceedings.

(4) Failing an agreement under sub-regulation (3) the arbitrator may conduct the arbitration in the manner they consider appropriate, having regard to the desirability of avoiding unnecessary delay or expense while at the same time affording the parties a fair and reasonable opportunity to present their cases.

**Validity of licenses and certificates of registration**

37. All licenses and annually renewed certificates of registration issued under these regulations shall remain in force until the thirtieth of June next following the date of issue, unless earlier cancelled.

**Fees and levies**

38. (1) The Cabinet Secretary may charge fees for inspections and licenses for the dealers and manufacturers. Further, the Cabinet Secretary may impose levies on imports and exports as set out in the Third Schedule.

(2) Neither the Authority nor the county governments shall charge any registration fee.

**Submission of returns**

39. (1) All persons registering small holder growers shall submit annual returns to the County government as set out in Form H1 in the Second Schedule by 30\(^{\text{th}}\) of January every year.

(2) All plantation growers of nuts and oil crops produce and product shall submit annual returns to the Authority as set out in Form H1 of the Second Schedule by 30\(^{\text{th}}\) of January every year.

(3) Every registered nursery operator shall not later than the fifteen day of the first month of every quarter of a financial year complete and submit to the county government, statistical returns as set out in Form H2 of the Second Schedule.

(4) Every dealer and manufacturer of nuts and oil crops produce and product shall fill quarterly returns to the county government as set out in Form H3 of the Second Schedule not later than the tenth day of the first month after the end of the quarter.
<table>
<thead>
<tr>
<th></th>
<th>(5) An importer or exporter of nuts and oil crops produce and products shall submit returns of the imports or exports transactions to the Authority as set out in Form H3 of the Second Schedule.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
<tr>
<td>General penalty.</td>
<td>40. A person who commits an offence under these Regulations, for which no penalty is provided, shall be liable upon conviction, to a fine not exceeding five hundred thousand shillings or to a term of imprisonment not exceeding one year or to both in accordance with section 37 of the Act.</td>
</tr>
<tr>
<td>Transition</td>
<td>41. All licenses existing before the coming into operation of these Regulations shall remain valid until their expiry, and subsequent licenses shall be issued under these Regulations.</td>
</tr>
</tbody>
</table>
# FIRST SCHEDULE

## SCHEDULED NUTS AND OIL CROPS

<table>
<thead>
<tr>
<th>Crop</th>
<th>Scientific Name</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coconut</td>
<td>Cocos nucifera L.</td>
</tr>
<tr>
<td>Cashew nut</td>
<td>Anacardium occidentale L.</td>
</tr>
<tr>
<td>Macadamia nut</td>
<td>Macadamia tetraphylla (L.Johnson)</td>
</tr>
<tr>
<td>Macadamia nut</td>
<td>Macadamia integrifolia (Maiden &amp; Betch)</td>
</tr>
<tr>
<td>Ground nuts</td>
<td>Arachis Hypogaea L.</td>
</tr>
<tr>
<td>Castor beans</td>
<td>Ricinus communis L.</td>
</tr>
<tr>
<td>Sunflower</td>
<td>Helianthus annuus L.</td>
</tr>
<tr>
<td>Oil Seed or Rapeseed</td>
<td>Brassica napus var. oleifera L.</td>
</tr>
<tr>
<td>Jojoba</td>
<td>Simmondsia Chinensis (link) C.K Shneid</td>
</tr>
<tr>
<td>Safflower</td>
<td>Carthamus tinctorius L.</td>
</tr>
<tr>
<td>Sesame</td>
<td>Sesamum Indicum L.</td>
</tr>
<tr>
<td>Linseed</td>
<td>Linum usitatissium L.</td>
</tr>
<tr>
<td>Oil Palm</td>
<td>Elaeis guineensis. Jacq.</td>
</tr>
<tr>
<td>Bambara nut</td>
<td>Vigna subterranea. (L.)Verdc.</td>
</tr>
</tbody>
</table>

*All others except scheduled oil crops*
**FORM A1**

Serial No.; County code/commodity/000(serial per licensing period)  Regulation 5 (2)

APPLICATION FOR REGISTRATION OF SMALLHOLDER GROWERS AND GROWERS ASSOCIATIONS.

*(To be filled in triplicate)*

<table>
<thead>
<tr>
<th>Full name of the entity</th>
<th>Serial No.; County code/commodity/000(serial per licensing period)</th>
</tr>
</thead>
<tbody>
<tr>
<td>.................................................................</td>
<td></td>
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</tbody>
</table>

Postal Address……………………………………………………………………………………………………………………………………

2. Registered Office……………………………………………………………………………………………………………………………………

   L. R. No. ................................... Street ..................................
   Tel. No. ...................................... Fax No. ..............................
   E-mail ........................................... Website ..............................

3. Location of premise /collection centre:

   Village/area……………………………. Ward.……………………………
   Sub-County……………………………. County……………………………

4. Number of growers/traders in the group........................ Acreage under production ………………………

5. Directors/Officials

<table>
<thead>
<tr>
<th>Name</th>
<th>ID/Passport No</th>
<th>PIN No</th>
<th>Tel No</th>
</tr>
</thead>
<tbody>
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</tbody>
</table>

Attach copies of registration certificate, ID/Passport of officials/Directors and tax compliance certificate

6. Types of Produce/ Products .................................................................

   Declaration

I/ We declare that the information given above is accurate and complete.

Chairman/Director.................................Signature.......................... Date .................

Secretary.................................Signature.......................... Date .........................
The Crops (Nuts and Oil Crops) Regulations, 2019

Treasurer.................................Signature……………………… Date ……………………..

FOR OFFICIAL USE

County Government Approval

Checked by: County Director of Agriculture/Authorized Officer

Name…………………………………… Signature………………………… Date ……………………..

Approved by: CEC in charge of Agriculture

Name…………………………………… Signature………………………… Date ……………………..

Official stamp/seal

Terms and Conditions

1. The smallholder growers and grower growers associations shall produce such documentary evidence on the collection Centre/premise, group membership and the volumes produced/handled on quarterly basis.
THE CROPS (NUTS AND OIL CROPS) REGULATIONS, 2019

FORM A2

CERTIFICATE OF REGISTRATION OF GROWER ASSOCIATIONS

Name: ……………………………………………………………………………………………
Postal Address: …………………………………………………………………………………
Tel. ………………………… Email ………………………
L.R. No. ………………………………………………………………………………………
Street: ………………………………………………………………………………………
is hereby registered as a grower association under these Regulations for the following crops:
……………………………………………………………………………………………………
……………………………………………………………………………………………………
……………………………………………………………………………………………………
Category:
Grower Association ☐
Common Interest Group ☐
Community Based Organization ☐
Co-operative ☐
Others (specify) …………………………………………………………………………………
Registration number ………………………………………………………………………
Date of Registration ………………………………………………………………………
The registration expires on …………………
Signed ……………………………………………………………………………………………
Name ……………………………………………………………………………………………
(CEC in charge of Agriculture)

Official stamp/seal
COUNTY GOVERNMENT OF………………
THE CROPS (NUTS AND OIL CROPS) REGULATIONS, 2019

FORM A3

Serial No.; County code/commodity/000(serial per licensing period) Regulation 5(4)

REGISTER OF GROWERS ASSOCIATIONS.

1. Full name of the entity……………………………………………………………………………………………
2. Postal Address………………………………………………………………………………………………………...
3. Registered Office……………………………………………………………………………………………………
    L. R. No. ........................................ Street ..................................................
    Tel. No. ........................................ Fax No. ............................................
    E-mail ........................................ Website ...........................................

4. Location of premise /collection centre:
   Village/area........................................ Ward.................................
   Sub-County....................................... County.................................

5. Membership

<table>
<thead>
<tr>
<th>Name of the Member</th>
<th>Date of Registration</th>
<th>Membership No.</th>
<th>ID NO</th>
<th>Village/Area</th>
<th>Telephone</th>
<th>Commodity</th>
<th>Acreage</th>
<th>Date of Exit</th>
</tr>
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<tbody>
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6. Directors/Officials

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<th>Name</th>
<th>ID/Passport No</th>
<th>PIN No</th>
<th>Tel No</th>
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</table>

Attach copies of registration certificate, ID/Passport of officials/Directors and tax compliance certificate

Declaration

I/ We declare that the information given above is accurate and complete.

Chairman/Director..................................Signature.......................... Date .....................
Secretary........................................Signature............................ Date .....................
Treasurer.................................Signature............................ Date .....................
# AGRICULTURE AND FOOD AUTHORITY
## NUTS AND OIL CROPS DIRECTORATE

# FORM A4
## REGISTER OF GROWER ASSOCIATIONS

Serial No.: AFA/NOCD/001

<table>
<thead>
<tr>
<th>NO.</th>
<th>Name of the Entity</th>
<th>Contact details</th>
<th>Location of entity</th>
<th>Number of Reg. growers</th>
<th>Type of crop grown</th>
<th>Area under crop</th>
<th>Date of submission of returns</th>
</tr>
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<tbody>
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</tbody>
</table>

Compiled by;

Name………………………...................................Signature………………………

Date………………………

Verified by:

Name………………………...................................Signature………………………

Date………………………

24
APPLICATION/RENEWAL FOR REGISTRATION OF PLANTATION GROWER
(To be filled in triplicate)

Full name of the grower

Postal Address

9. Registered Office

L. R. No. Tel. No

E-mail Website

10. Location of plantation:

Village/area Ward

Sub-County County

11. Crop Acreage under production

12. Do you use irrigation? Source of water

13. Do you carry out processing/value addition (Yes/ No)

14. If yes: state type of Produce/Product

15. Directors/Officials

Name ID/Passport No PIN No Tel No

Attach copies of certificate of registration or incorporation, ID/Passport of officials/Directors and tax compliance certificate
The Crops (Nuts and Oil Crops) Regulations, 2019

Declaration
Chairman/Director.................................................Signature……………………… Date ……………………
Secretary........................................................Signature…………………… Date 
Treasurer........................................................Signature…………………… Date ……………………

FOR OFFICIAL USE

Authority Approval
Checked by: Authorized Officer
Name................................................Signature…………………… Date ……………………

Approved by: Director General Agriculture and Food Authority
Name................................................Signature…………………… Date ……………………

Official stamp/seal

Terms and Conditions
1. The applicant shall meet all the prerequisite requirements
CERTIFICATE OF REGISTRATION OF A PLANTATION GROWER

Name ..............................................................................................................
Postal Address: ..................................................Tel............................Email............... 
L.R. No. ..............................................................................................................
Street: ..............................................................................................................
is hereby registered as a Plantation Grower under this Regulation for the following crop(s):

Crop    Acreage
………………………………………………  ………………………………………
………………………………………………  ………………………………………
Registration number ..............................................................
Date of Registration ..............................................................

Signed ..............................................................
Name ..............................................................

Director General
Agriculture and Food Authority

This License is granted subject to the provisions of the Crops Act 2013 and to the conditions below:

i. This License is not transferable
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

FORM C1

Serial No.; AFA/NOCD/004

APPLICATION FOR COMMERCIAL NURSERY LICENSE
(To be filled in triplicate)

Part I
1. Full name of Applicant

2. PIN No..........................ID No..........................................

3. Postal Address........................Tel..........................Email..........................

4. County ................Sub-County ........Ward ........Sub location..........................

5. L.R. No/ Street Name ..........................................

6. Type of planting material to be produced:
   Crop/variety No. of Seedlings Source of Seed
   ................................................................. ........................................
   ................................................................. ........................................

   Applicant’s signature........................Date..........................................

PART II
FOR OFFICIAL USE
Terms and conditions for registration

Application for licensing shall be made to the Authority.
The applicant shall demonstrate proof of adequate knowledge in nursery management.
The nursery operator shall submit quarterly returns to the Authority.
The nursery operator shall ensure all planting material has been inspected and approved by an officer from Kephis.
The nursery operator shall comply with the guidelines on nursery management as issued by the Authority from time to time.
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

FORM C2

Serial No.: AFA/NOCD/005  Regulation 8(1)

COMMERCIAL NURSERY LICENSE

Name ......................................................................................................................
Postal Address ......................................................................................................
Is/are registered to operate a......................... Crop nursery for the year ......................
Located at:
Ward ........ Sub location......... Location....................................................
Sub County.................................... County ..............................................
LR No/ Street Name ...............................................................
The following planting materials will be produced:

<table>
<thead>
<tr>
<th>Types</th>
<th>number of planting stock</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

Registration No. ........................................

Signed .................................................. Date: ........................................

(Director General Agriculture and Food Authority)

Official stamp/seal

Terms and Conditions:
1) This License is valid until the thirtieth day of June next following the date of issue, unless earlier cancelled.
2) This License is not transferable.
The Crops (Nuts and Oil Crops) Regulations, 2019

3) All planting material shall be sourced from approved sources.
4) A nursery operator shall ensure that the planting material has been inspected and a phytosanitary certificate issued by the relevant government agency before sale of the planting material.
5) All certified planting materials not sold within six months of certification, will be subjected to re-certification.
6) Nursery operators shall remit statistical returns to the Authority on quarterly basis.
AGRICULTURE AND FOOD AUTHORITY
(NUTS AND OIL CROPS DIRECTORATE)

FORM D1

Serial No.; AFA/NOCD/006

APPLICATION FOR REGISTRATION AND LICENSING OF TRADERS,
RETAILERS, TRANSPORTERS AND DISTRIBUTORS OF…………….….PRODUCE
(To be filled in triplicate)

Full name of Applicant ........................................................................................................................................
Postal Address.......................................................................................................................................................
Registered Office..............................................................................................................................................
L. R. No. .............................................................. Street ..............................................................
Tel. No. .............................................................. Fax No. ..............................................................
E-mail .............................................................. Website ..............................................................................

Place where the premise is located:
Ward .............................................................. Sub-location......................................................
Location ...................................................................................................... Sub County..............................

The dealer (agent) should declare all premises (buying centres)

Details of the Buying Centres/Warehouses

<table>
<thead>
<tr>
<th>Buying Centre Name/No</th>
<th>Volume handled (metric tons)</th>
<th>Location</th>
<th>Street/Avenue/Village</th>
<th>Contact Person</th>
<th>Mobile No.</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

Please note that new buying centre(s) established by the dealer have to be notified to the county government in writing within 7 days.

Type of dealership e.g. Trader/Retailer/ Transporter/Distributor

(Specify) ..........................................................................................................................................................

Types of Produce/ Products ..........................................................................................................................
Sources of supply for Produce


Destination for Produce


Declaration

I declare that the information given above is accurate and complete.

Name


Signature Date

FOR OFFICIAL USE

Registration number Date of Registration

Authority Approval

Checked by: Authorized Officer

Name Signature Date

Approved by: Director General: Agriculture and Food Authority

Name Signature Date

Official stamp/seal

Terms and Conditions

1. When considering an application for registration as a dealer the Authority shall satisfy itself that the applicant has complied with requirements and conditions set out in Part A,B and C of the Fourth schedule, applicable national & international Standards, Code of Practice and any other legal requirements.

2. The dealer shall produce such documentary evidence for the purchases and deliveries to support the statements made above.

3. The dealer shall provide a copy of the contract signed with the buyer/manufacturer/growers.
AGRICULTURE AND FOOD AUTHORITY  
(NUTS AND OIL CROPS DIRECTORATE)  

FORM D2  

Serial No; AFA/NOCD/007  

Regulation 10(2)  

PRODUCE AND/OR PRODUCT DEALER LICENSE  

Name ……………………………………………………………………………………………  

Postal Address: ………………………………………Tel…………………………Email………………  

L.R. No. ………………………………………………………………………………….  

Street: …………………………………………………………………………………………….  

is hereby licensed as a dealer of Nuts and Oil Crops produce and products under these Regulations for the following:  
………………………………………………………………………………………………………….  
………………………………………………………………………………………………………….  

Category:  
Trader ☐  
Retailer ☐  
Transporter ☐  
Distributor ☐  
Marketing Agent ☐  
Others (specify)…………………………………………………………………………………….  

License number ……………………Date of Licensing ……………………………………….  

Signed …………………………………………………………………………………………….  

Name………………………………………………………………………………………………  

(Director General Agriculture and Food Authority)  

Official stamp/seal  

Terms and Conditions  

This License is issued subject to compliance with the provisions of the Crops Act 2013
AGRICULTURE AND FOOD AUTHORITY  
(NUTS AND OIL CROPS DIRECTORATE)  

FORM E1  

Serial No.: AFA/NOCD/008  

Regulation 11(1)  

APPLICATION/RENEWAL FOR AN IMPORTER/EXPORTER REGISTRATION  
AND LICENSING  

(To be filled in triplicate)  

Full name of Applicant …………………………………………………………………………  
Postal Address……………………………………………………………………………….  
Registered Office……………………………………………………………………………….  
L. R. No. ……………………………………… Street ………………………………………  
Tel. No. ……………………………………… Fax No. ………………………………………  
E-mail ……………………………………… Website ………………………………………  

3. Premise Location:  
Town/Street…………………………. Ward……………………………………….  
Sub-County……………………….. County……………………………………….  

4. Directors  
<table>
<thead>
<tr>
<th>Name</th>
<th>ID/Passport No</th>
<th>Citizenship</th>
<th>Shares</th>
</tr>
</thead>
<tbody>
<tr>
<td>………</td>
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</tbody>
</table>

(Attach copies of certificate of registration/incorporation, ID/Passport of Directors, tax compliance certificate and current Single Business Permit)  

5. Type of dealership: Exporter/Importer (specify)……………………………………  
6. Types of Produce/Products …………………………………………………………….  
7. Sources of supply for Produce ……………………………………………………….  
8. Destination for Produce ………………………………………………………………..  

Declaration  
I declare that the information given above is accurate and complete.  

Name…………………………………………………  
Signature ……………………………………… Date ……………………………
Terms and Conditions

1. When considering an application for registration and licensing as an importer/exporter the Authority shall satisfy itself that the applicant has complied with requirements and conditions set out in Part A, B and C of the Fourth schedule, applicable national & international Standards, Code of Practice and any other legal requirements.

2. The importer/exporter shall produce such documentary evidence for the purchases and deliveries to support the statements made above.
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

FORM E2
Serial No.: AFA/NOCD/009

EXPORTER/IMPORTER LICENSE

Type of License..................................................................................................
(Grant /renewal)

License No...............................

This License is issued to
..........................................................................................................................

of Postal Address ....................................... and authorizes the said entity to carry on the business of
..........................................................................................................................
at the premise situated at .............................................for the period of
..........................................................................................................................
beginning on the ........day of .................20.....and ending on the 30th day of June
20...... (Both days inclusive)

Issued on the ........day of .................20.......... .................

Signed.....................................................................................

Name ......................................................................................

Director General
Agriculture and Food Authority

This License is granted subject to the provisions of the Crops Act 2013 and to the conditions below:
i. This License is not transferable
ii. This License is valid until the thirtieth day of June next following the date of issue, unless earlier cancelled
iii. This License does not authorize the export of raw cashew nuts and raw macadamia nuts
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

FORM F1

Serial No.; AFA/NOCD/010

APPLICATION FOR LETTER OF INTERIM AUTHORITY

To
The Director General
Agriculture and Food Authority

1) I/We……………………………………………………………………………….. (Director/directors/agent of
……………………………………………………………………………….. (Name of business enterprise) apply
for a Letter of Interim Authority for ……. ……………………………………….. (Name of
Nuts and Oil Crops project).

2) The registered office of company will be situated at………………………………………………
……………………………………………………………………………………………………..

3) The intended location of the processing facility will be;

a) County……………………….. b) Sub County………………………………………..
c) Ward……………………….. d) Village/street………………………………………..

4) produce source

a) own farm……………..Acres…………………………production (in Kg) …………..

b) contracted…………… Number of farmers…………. Acres…………production( in Kg)………..

5) Copies of the following documents are attached to this application—

(a) project approval letter from the respective county
(b) the Memorandum and Articles of Association or partnership agreement;
(c) the Certificate of Incorporation/Registration;
(d) the profiles of the investor(s), directors or principal officers;
(e) a copy of the project profile or feasibility study showing the implementation period, program of
implementation and operation dates; and
f) evidence of financing and land ownership for the project.

6) Declaration

I declare that the information given above is accurate and complete.
### FOR OFFICIAL USE

<table>
<thead>
<tr>
<th>Authority Approval</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Checked by: Authorized Officer</td>
<td></td>
</tr>
<tr>
<td>Name……………………………………… Signature…………………………… Date …………………</td>
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<tr>
<td>Approved by: Director General AFA</td>
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<tr>
<td>Name……………………………………… Signature…………………………… Date …………………</td>
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<tr>
<td>Official stamp/seal</td>
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</tr>
</tbody>
</table>
AGRICULTURE AND FOOD AUTHORITY
THE CROPS (NUTS AND OIL CROPS) REGULATIONS, 2019

FORM F2

Serial No.; AFA/NOCD/011

Regulation 12(3)

(Authority Letterhead)

-----------------------
(Current Date)

-----------------------
(Applicant’s /Company (address))

Dear .............

RE: LETTER OF INTERIM AUTHORITY

…………………………………………………………. (name of applicant entity) has demonstrated its commitment to the implementation of the ……………………. project in ………………….

County by meeting all the set pre-requirements.

The Authority is satisfied that applicant will:

1) carry out a detailed project study to facilitate environmental impact assessment;
2) organize and contract …………………. (specify the nuts and oil crop) growers for raw material supply;
3) participate in growth and development to the industry;
4) install processing machinery and equipment that will match relevant quality and food safety standards;
5) apply for a manufacturing license after successful completion of the processing plant; and
6) execute the project within the next two years from the date of this letter after which this letter of interim authority shall be invalidated.

The Authority is satisfied with the intentions of …………….. (name of the company) in implementing the proposed project and is hereby issued with a Letter of Interim Authority valid up to …………………..(two years from the date of this letter)

Yours faithfully,

……………………………………………………………………………………………………………….

Director General
Agriculture and Food Authority

Note: - This letter of Interim Authority does not authorize the bearer to purchase, transport, process, export or import nuts and oil crops produce or products
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

FORM G1

Serial No.: AFA/NOCD/012

REGULATION 13(1)

APPLICATION FOR GRANT/RENEWAL OF A MANUFACTURING LICENSE

(To be filled in triplicate)

1. Full name of Applicant ………………………………………………………………………
2. Postal Address …………………………………………………………………………………
3. Registered Office …………………………………………………………………………...
   L. R. No. …………………………………... Street ………………………………………
   Tel. No. …………………………………... Fax No. ………………………………………
   E-mail …………………………………... Website ………………………………………

4. Place where the premise is located:
   Town/Street…………………………… Ward………………………………………
   Sub-County…………………………… County……………………………………

5. Directors

   Name  ID/Passport No  Citizenship  Shares
   ……………………  ……………………  ……………………  ……………………
   ……………………  ……………………  ……………………  ……………………
   ……………………  ……………………  ……………………  ……………………

   (Attach copies of certificate of registration/incorporation, ID/Passport of Directors, tax
   compliance certificate and current Single Business Permit)

6. Types of Produce/Products ………………………………………………………………

7. Sources of supply for Produce ……………………………………………………………

8. Destination for Products …………………………………………………………………

Declaration

I declare that the information given above is accurate and complete.

Name……………………………………………………

Signature …………………………… Date …………………

FOR OFFICIAL USE
The Crops (Nuts and Oil Crops) Regulations, 2019

**Authority Approval**

Checked by: Authorized Officer

Name……………………………… Signature……………………… Date …………………

Approved by: Director General AFA

Name……………………………… Signature……………………… Date …………………

Official stamp/seal

**Terms and Conditions**

1. When considering an application for licensing as a manufacturer the Authority shall satisfy itself that the applicant has complied with requirements and in condition as set out in part A, B and C of the Fourth schedule, applicable national & international Standards, Code of Practice and any other legal requirements.

2. The manufacturer shall produce such documentary evidence for the purchases and deliveries to support the statements made above.

3. A manufacturer shall pay the prescribed registration fees to the Authority.

4. This certificate is valid until the thirtieth day of June next following the date of issue, unless earlier cancelled.
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

FORM G2

Serial No.: AFA/NOCD/013

MANUFACTURING LICENSE

Type of License.................................................................................................................................
(Grant /renewal)

License No..................................

This License is issued to
..........................................................................................................................................................

of Postal Address ............................................. and authorizes the said entity to carry on the business of
..........................................................................................................................................................
at the premise situated at ..........................................for the period of
..........................................................................................................................................................

beginning on the .................day of ...............20......and ending on the 30th day of June 20...... (Both days inclusive)

Issued on the .............day of .........20.................

Signed………………………………………………………………..

Name …………………………………………………………………..

Director General
Agriculture and Food Authority

This License is granted subject to the provisions of the Crops Act 2013 and to the conditions below:
i. This License is not transferable
ii. This license is not for export or import of raw materials or manufactured products
iii. The Manufacturer shall pay the applicable fees
iv. This license is valid until the thirtieth day of June next following the date of issue, unless earlier cancelled
v. The license does not authorize the export of raw cashew nuts and raw macadamia nuts
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE
And
COUNTY GOVERNMENT OF...............................
I declare that the information given above is accurate and complete.

Name……………………………………………………………………
Signature …………………………………………..…… Date …………………..

**For official use only:**

Name of the officer who verified the records …………………………………………..
Date ………………………………………….. Signature ……………………………

Official Authority/CECM Agriculture Stamp

**NB**

This form must be filled and submitted to the Authority or county government as the case may be, not later than the fifteenth day of the first month of a new year
AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

FORM H2

Serial No.: AFA/NOCD/015

Regulation 39(3)

NURSERY OPERATOR STATISTICAL RETURNS FORM

(To be completed in triplicate)

Name of the Nursery operator …………………………………………………………………………

ID No/ VAT Certificate No. …………………… PIN Certificate No. ……………………………

Telephone/ Mobile number .............. Postal Address ............... Town ......................

Location of the nursery: Ward ………………………………………………………………………

Sub-location …………………………… Location………………………………………………

Sub County …………………………… County………………………………………………

Period of returns: July –September ☐ October –December ☐ ….. January - March ☐

April - June ☐

(Tick where applicable)

Declaration:

<table>
<thead>
<tr>
<th>Crop</th>
<th>Variety</th>
<th>Number of seedlings raised within the period</th>
<th>Number of seedlings issued/sold/distributed</th>
<th>Balance of seedlings</th>
<th>Average price of a seedling sold over the period (KES)</th>
</tr>
</thead>
<tbody>
<tr>
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</table>
I declare that the information given above is accurate and complete.

Name………………………………………………………………………………

Signature ................................................................. Date .................................

For official use only:

Name of the officer who verified the records ..................................................

Date .................................................. Signature ........................................

Official Authority Stamp

NB

This form must be filled and submitted to the Authority, not later than the fifteenth day of the first month of every quarter of a financial year
Dealer/Manufacturer Statistical Return Form
(To be completed in triplicate)

Return for the quarter.......................... Year ......................

PART A

Name of Dealer/Manufacturer .................................................................

Postal address: ..............Code .............. Town .................................

Telephone No. ........................................... Fax ..............................

Email.............................................. Website ..............................

Company registration certificate No. ....................................................

KRA PIN Certificate No. .................................................................

Business Location (premise):

Street/Area.............................. Ward..........................................

Sub-location .................. Location ..................................................

Sub County .................. County ..............................................

Dealer/Manufacturer License or Certificate number..............................

Category of Dealership/Manufacturer: (specify)......................................
9. **PART B (to be filled by local traders, transporters, distributors)**

<table>
<thead>
<tr>
<th>Product/Produce</th>
<th>Unit of measure (Specify)</th>
<th>Quantity traded/handled</th>
<th>Total value of produce/product (KES)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
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</tr>
</tbody>
</table>

**PART C (To be filled by exporters/importers)**

<table>
<thead>
<tr>
<th>Product/Produce</th>
<th>Country of origin</th>
<th>Country of Destination</th>
<th>Unit of measure (Specify)</th>
<th>Quantity handled during the month</th>
<th>Total value of produce/product handled (KES)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tr>
</tbody>
</table>

NB:

Attach copies of KRA export/import entries and bill of lading

**PART D (To be filled by manufacturer)**

<table>
<thead>
<tr>
<th>Product/Produce</th>
<th>Unit of measure (Specify)</th>
<th>Quantity handled</th>
<th>Total value of produce/product (KES)</th>
<th>Quantity exported (if any)</th>
<th>Value of export (KES)</th>
<th>Export destination country</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Raw material</td>
<td>Processed</td>
<td>Raw material</td>
<td>Processed</td>
<td>Raw material</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Processed</td>
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<td>Processed</td>
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<td>Processed</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Raw material</td>
<td></td>
<td>Processed</td>
<td></td>
<td>Processed</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Processed</td>
<td></td>
<td>Processed</td>
<td></td>
<td>Processed</td>
</tr>
</tbody>
</table>
NB: Attach copies of KRA export entries and bill of lading

Declaration
I declare that the information given above is accurate and complete.

Name………………………………………………………………………………..

Signature ……………………………………………………………….. Date ………………………………..

Official Company stamp/seal

10. For official use only

Checked By…………………………Designation…………………………

Signature …………………………..Date……………………………..

Official stamp

Terms and Conditions

Those filling Part B (Local traders, distributors, transporters and marketing agents) shall file their returns to the Authority.
Those filling Part C (importers and exporters) shall file their returns with the Authority.
Those filling Part D (manufacturer) shall file their returns to the Authority.
Every dealer/manufacturer shall, not later than the tenth day of the first month of every quarter of a financial year complete and submit statistical returns to the Authority.
THIRD SCHEDULE

AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

Reg. 8(1), 10(2), 11(2), 12(5), 13(4), 14(3), 17, 29 (4)(b), 30(2)(3)

NUTS AND OIL CROPS INDUSTRY FEES AND LEVIES

<table>
<thead>
<tr>
<th>REGISTRATION AND PERMIT/LICENSES CATEGORIES</th>
<th>LICENCE FEES (KSH)</th>
<th>RENEWAL FEES (KES)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>LICENSES</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dealers (commercial nursery operators, transporters, distributors, marketing agents, ship chandlers, exporters and importers)</td>
<td>5,000</td>
<td>5,000</td>
</tr>
<tr>
<td><strong>Manufacturers</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cottage Processors (Gross turnover of KES 5,000,000 and below per year) and new applicants</td>
<td>5,000</td>
<td>5,000</td>
</tr>
<tr>
<td>Established/Big Processors (Gross turnover of more than KES 5,000,000 per year)</td>
<td>25,000</td>
<td>25,000</td>
</tr>
<tr>
<td><strong>INSPECTION FEES</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Commercial Nursery/mother block/farms (per visit)</td>
<td>1,000</td>
<td></td>
</tr>
<tr>
<td><strong>Processing facility inspection (per visit)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cottage Processors (Gross turnover of KES 5,000,000 and below per year) and new applicants</td>
<td>5,000</td>
<td></td>
</tr>
<tr>
<td>Established/Big Processors (Gross turnover of more than KES 5,000,000 per year)</td>
<td>10,000</td>
<td></td>
</tr>
</tbody>
</table>
### LEVIES (per consignment)

<table>
<thead>
<tr>
<th>Description</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Export levy on raw produce, (except those prohibited under section 43 of Agriculture and Food Authority Act 2013)</td>
<td>Ksh 2 per Kg FOB</td>
</tr>
<tr>
<td>Export levy – Finished products</td>
<td>0.25% of FOB</td>
</tr>
<tr>
<td>Import levy – Finished products</td>
<td>4% of CIF</td>
</tr>
<tr>
<td>Import levy – Raw materials</td>
<td>2% of CIF</td>
</tr>
</tbody>
</table>
PART A
MINIMUM REQUIREMENTS APPLICABLE TO NURSERY REGISTRATION AND LICENSING

1. High standards of field hygiene in the nursery
   - Disease free seedlings
   - Free from organic and inorganic litter
   - Clear separation of operation (soil mixing and potting, seeding area, grafting area, hardening area and dispatch)
   - Year round source of water

2. Soil media is treated (see evidence of treatment area)

3. Nursery layout is well secured from animals and other walking pests

4. Nursery layout is East to West orientation, gentle slope and allows for good drainage

5. Structures are in place for the nursery operations (shade nets, polytunnels and insect proof net for passion fruit. For TC a lab and hardening off area)

6. Scion source if from registered mother block

7. Source of seeds/rootstock for each fruit type is from approved source

8. Nursery block with grafted seedlings is well labeled with the seedling type.

9. Seedlings ready for dispatch is tagged with the seedling variety

10. Distribution records of the nursery showing buyer’s name, County, district, location, postal address and varieties purchased.
   - Nursery certificate is well displayed
FOURTH SCHEDULE

AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

PART B

MINIMUM LICENSING REQUIREMENTS APPLICABLE TO A
MANUFACTURING FACILITY

- Duly filled application form (Grant/Renewal)
- Copy of Certificate of Incorporation or Registration Certificate (Grant)
- Copy of duly signed Articles and Memorandum of Association or CR 12 (Grant)
- Copies of ID or Passports of Company Directors & Valid Work Permit where applicable (Grant)
- Copy of valid County Government’s Single Business Permit (Grant/Renewal)
- Copy of KRA’s PIN Certificate for the company (Grant)
- Copy of valid KRA’s Tax Compliance Certificate (Grant/Renewal)
- Copy of valid NEMA’s Environmental Impact Assessment or Environmental Audit Certificate where applicable (Grant/Renewal)
- Copy of Public Health’s Food Drugs and Chemical Substances License where applicable (Grant/Renewal)
- Facility Inspection report (Authority to inspect)
- Food Safety Certification (where applicable)

Note: In the event of change in directorship of a company, updated CR12 and Copies of ID’S or passport & valid work permit shall be submitted to the Directorate
FOURTH SCHEDULE

AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

Regulation 11(2)

PART C

MINIMUM REGISTRATION AND LICENSING REQUIREMENTS APPLICABLE TO AN EXPORTER/IMPORTER

1. Duly filled application form (Grant/Renewal)
2. Copies of ID’S or passport & valid work permit shall be submitted to the Directorate
3. Copy of Certificate of Incorporation or Registration Certificate (Grant)
4. Copy of dully signed Articles and Memorandum of Association or CR 12 (Grant)
5. Copies of ID or Passports of Company Directors & Valid Work Permit where applicable (Grant)
6. Copy of valid County Government’s Single Business Permit (Grant/Renewal)
7. Copy of KRA’s PIN Certificate for the company (Grant)
8. Copy of valid KRA’s Tax Compliance Certificate (Grant/Renewal)
9. Warehouse Inspection report (Authority to inspect)

Note: In the event of change in directorship of a company, updated CR12 and Copies of ID’S or passport & valid work permit shall be submitted to the Directorate
FIFTH SCHEDULE

AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

PART A

GUIDELINES FOR ESTABLISHMENT, USES AND MANAGEMENT OF NUTS AND OIL CROPS PRODUCE COLLECTION CENTRE

The collection center shall—

(a) be accessible to both buyers and farmers;
(b) be designed to allow for a store, an office, working area and an appropriate cooling facility where applicable;
(c) have floors, doors, wall surfaces made of impervious, non-toxic, washable materials, which are easy to clean and disinfect;
(d) be constructed to allow for adequate ventilation, lighting, effective produce inspection and made of acceptable materials
(e) adequate water and toilet facilities;
(f) have waste disposal facilities; and
(g) have clear documentation procedures and document control systems

2. The collection centers may be used as –

(a) sites for bulking and grading of nuts and oil crops produce and products to achieve marketable volumes;
(b) collective bargaining and negotiations and signing of contractual agreements between producing clusters and traders;
(c) points for lodging and arbitration of disputes between aggrieved parties;
(d) centers of information dissemination on prices, markets and other technologies on nuts and oil crops industry improvement.
The Crops (Nuts and Oil Crops) Regulations, 2019

FIFTH SCHEDULE

AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

Reg. 22(1)(a)

PART B

GUIDELINES FOR CONTRACTUAL AGREEMENT BETWEEN A GROWER, DEALER AND OR MANUFACTURER IN THE NUTS AND OIL CROPS INDUSTRY:

AGREEMENT made this ........................................ Day of ................................ two
Thousand and ..........................................................

BETWEEN:
................................................................. of ......................................................... a registered DEALER OR MANUFACTURER (Registration No. ........................................) hereinafter called “dealer” which expression shall where the context so admits include its successors and assignees of the First part:

AND
................................................................. of ......................................................... a GROWER entity of nuts and Oil crops produce (Registration No. ........................................) hereinafter called the “grower” which expression shall where the context so admits include its successors and assignees) of the second part

WHEREAS

the dealer/manufacturer is duly registered under these regulations and

the grower has requested the dealer/manufacturer to provide services referred to below and the dealer/manufacturer has agreed to provide such services to the grower upon the terms and conditions appearing hereinafter.

NOW IT IS HEREBY AGREED BETWEEN THE PARTIES AS FOLLOWS;

AIMS AND OBJECTIVES OF CONTRACTS

The guidelines shall:
Act as a memorandum of understanding between the grower and dealer/manufacturer of
................................. produce.

Serve as a guideline for the grower and dealer/manufacturer in order to conduct good business practices,
which will be mutually beneficial and help promote the well-being of the nuts and oil crops industry in Kenya.

Act as guideline or framework to development of a legally binding contract to be executed by the grower
and dealer/manufacturer.

OBLIGATIONS OF THE GROWER AND DEALER/MANUFACTURER

TERMS AND CONDITIONS

THE ESSENTIAL ELEMENTS THAT A CONTRACT SHALL HAVE INCLUDE:

Quantity and quality of produce to be supplied at a particular time and contract prices:-
(a) Seed and other Inputs:-
(b) General Accepted Production Practices:-
(c) Record Keeping:-
(d) Field support and Training:-
(e) Harvesting and Post-Harvest Practices:-
(f) Inspection and Grading:-
(g) Packaging Supply and Procedures:-
(h) Conditions of Collection or Delivery:-
(i) Rejected Produce:-
(j) Payment terms including maximum payment period and Mechanism:-
(k) Penalties
(l) Termination Clause:
(m) Natural Calamities and No-Commercial Risks (Force majeure):-

IN WITNESS WHEREOF the parties have hereunto executed this Agreement on the day and date herein before
mentioned.

SIGNED for and on behalf of the

Dealer/Manufacturer  Grower
Name: ................................. Name: .................................
Date: ................................. Date: .................................

Witness: ................................. Witness: .................................
Date: ................................. Date: .................................

Witnessed by County Government representative

Name:.................................Designation:.........................

Signature: .................................Official stamp and Date.........................
FIFTH SCHEDULE

AGRICULTURE AND FOOD AUTHORITY
NUTS AND OIL CROPS DIRECTORATE

Reg. 23(1)

PART C

GUIDELINES FOR FIELD HANDLING AND GRADING OF NUTS AND OIL CROPS PRODUCE

1. High standards of field hygiene shall be maintained during harvesting operations.
2. All harvested produce SHALL be at the right stage of physiological maturity for intended use.
3. Harvested produce shall be protected from adverse weather conditions
4. Appropriate harvesting equipment shall be used for each crop.
5. Produce unfit for marketing shall be separated from marketable produce at the field level.
6. All produce shall be sorted and graded as appropriate.
7. Physical handling of produce shall be minimized at all stages.
8. All harvested produce shall be aggregated at the collection centers before being sold to traders